



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KENNETH O. McELRATH  
YUEMEI YANG  
KENNETH A. SMITH  
XIAODONG HU

Serial No.: 10/719,689

Filed: November 21, 2003

For: CARBON NANOTUBE PARTICULATES,  
COMPOSITIONS AND USE THEREOF

Group Art Unit: 1754

Examiner: Unknown

Attorney Docket: 3006.001900/KDG

CUSTOMER NO. 23720

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date below.

April 23, 2004

  
Cynde Meinhart

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed foreign patent documents and journal articles required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. Because the filing date of the present application is after June 30, 2003, copies of the listed U.S. patents are not included.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be

construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

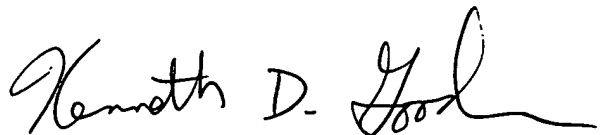
The present Supplemental Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Director is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/3006.001900KDG.

In accordance with 37 C.F.R. § 1.97(e)(1), Applicants hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present statement, as evidenced by the date of the enclosed search report.

Applicants respectfully request that the listed documents be made of record in this case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C.  
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April 23, 2004



Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.  
3006.001900/KDGSerial No.  
10/719,689Applicants  
Kenneth O. McElrath/Yuemei Yang/Kenneth A. Smith/  
Xiaodong HuFiling Date:  
November 21, 2003Group:  
1754U.S. Patent Documents  
*See Page 1*Foreign Patent Documents  
*See Page 1*Other Art  
*See Page 1*

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A2	6,413,487	07/02/2002	Resasco et al.	423	447.3	06/02/2000
	A3	6,333,016	12/25/2001	Resasco et al.	423	447.3	09/03/1999
	A4						
	A5						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B2	WO02/092506	11/21/2002	PCT			
	B3	WO00/63115	10/26/2000	PCT			
	B4	1 391 425 A1	02/25/2004	Europe			
	B5						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C9	PCT/US03/37307 International Search Report (March 29, 2004)
	C10	Alvarez et al., <i>Chemical Physics Letters</i> 342:7-14 (2001)
	C11	Dresselhaus et al., "Carbon Nanotubes: Synthesis, Structure, Properties and Applications," Springer-Verlag, Berlin Heidelberg XP002273341, page 32, paragraph 1.2-page 39; page 394, paragraph 1-page 401; page 406, paragraph 3-page 412 (2001)
	C12	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.